Syntax

Context-Free Grammars

CYK Algorithm

Syntactic Parsing: Introduction, CYK Algorithm

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Objectives of this lecture

Context-Free Grammars

CYK Algorithm

- → Introduce syntactic level of NLP
- > Present its two components: formal grammars and parsing algorithms

Contents:

- Introduction
- Formal Grammars
- Context-Free Grammars
- CYK Algorithm



Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalisms

Context-Free Grammars

CYK Algorithm

Syntactic level

Analysis of sentence structure

i.e. "grammatical" analysis (in the linguistic sense)

In automatic natural language processing . This requires

formal grammars and parsing (\(\lambda \)

two separated/complementary aspects:

procedural	declarative
generic algorithms	data
parsing algorithm	formal grammar

Syntax

Syntactic level and Parsing

Syntactic acceptability Formalisms

Context-Free Grammars

CYK Algorithm

Parsing

Parsing can be seen as:

- ► RECOGNIZING a sequence of words
 - → Is a given sentence correct or not?

or as

- ► ANALYZING a sequence of words
 - For a syntactically correct sentence, give the set of all its possible interpretations... i.e. whounted structures...
 (Returns the empty set for incorrect sentences)



Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalism

Context-Free Grammars

CYK Algorithm

Syntactic constraints: What is a "correct" und requence?...

Let's first play a game...

Consider the following multi-set of 14 words:

{cat, couch, he, lovely, nice, neighbor, of, on, sat, talked, the, the, with}

From such a multi-set, one can derive 14! = 87'178'291'200 (!!) possible sequences...

...most of which do not correspond to any reasonably acceptable sentence:

- > cat couch he lovely nice neighbor of on sat talked the the with
- he cat the nice lovely the neighbor sat of talked on with the couch
- **...**

But some do! . . .

Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalisms

Context-Free Grammars

CYK Algorithm

Some possible sentences

Here are some:

- the lovely cat of the neighbor he talked with sat on the nice couch
- the nice neighbor he sat with talked of the cat on the lovely couch
- the neighbor he sat with talked lovely of the cat on the nice couch
- the neighbor he sat on talked with the nice couch of the lovely cat



Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalisms

Context-Free Grammars

CYK Algorithm

What is acceptable and what is not?

A sequence of words can be rejected for several different reasons:

the words are not in the "right" order:

cat the on sat the couch nice

- the rules defining what are the acceptable word orders in a given language are called "positional constraints"
- related word pairs are not matching "right":

cats eats mice

the rules defining what are the acceptable word pairs in a given language are called "selectional constraints" ("agreement rules")



Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalisms

Context-Free Grammars

CYK Algorithm

What is acceptable and what is not? (2)

It is not enough for a sequence of words to satisfy all positional and selectional constraints to be acceptable, see Chomsky's famous example:

Colorless green ideas sleep furiously.

but the reason is different: the sequence is rejected because it is meaningless; indeed, how can something colorless be green? or a sleep to be furious?

As this type of problem is related to meaning, it will <u>not</u> be considered here; we will consider any sequence satisfying all **positional** and **selectional** constraints as acceptable;

to avoid potential confusion, we will refer to such sequences as "syntactically acceptable".



Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalisms

Context-Free Grammars

CYK Algorithm

Where is the border?

- Syntactic acceptability is not as clear cut as one may think!
- ➤ The underlying hypothesis is that any syntactically acceptable sequence may possibly be given a meaning, even if this may require some context to guarantee that a large enough fraction of speakers indeed understand it as intended (which is crucial for any linguistic entity to be truly useful, but, maybe, in pure poetry)
- For example: What do you understand if one talks about a "small giant"?...



Syntactic level and Parsing

Syntactic acceptability

Formalisms

Context-Free Grammars

CYK Algorithm

- Now, what do you understand, if "small giant" is included in the following context: "The sheer size of a company does not guarantee its survival; it must also remain agile to adapt to rapidly changing economic conditions. As soon as a large company begins to be hampered by heavy internal procedures, it gradually turns into a small giant, and represents an easy prey for its competitors."
- However, the situation may become fuzzier, if the required context gets harder to create:

"giving something to someone" is clear, "giving something for someone" as well, but how should we interpret "giving something beyond someone"?

and, what about the 4th of the "possible sentences"

given earlier?

Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalism

Context-Free Grammars

CYK Algorithm

Positional constraints

As already mentioned, positional constraints govern the word order in a language:

the more such constraints, the more the language tends to be fixed order (e.g. French, German),

the less, the more it tends to be free order (e.g. Latin, Italian)

For example: in English "girls like roses" is acceptable,

while "girls roses like" or "like girls roses" are not

(and "roses like girls" is acceptable, but means something else);

in Latin, virtually any combination of "puellae rosas amant" is acceptable and means the same (up to, possibly, a different emphasis)



Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalism

Context-Free Grammars

CYK Algorithm

How to deal with selectional constraints?

As already mentioned, selectional constraints are taking into account constraints such as agreement rules that are further restricting the word sequences to be considered as (syntactically) acceptable

For example, in English "cats eat mice" is acceptable, while "cats eats mice" is not, because the number agreement between "cats" (plural) and "eats" (singular) is violated.

Agreement rules can be taken into account by preserving the required morpho-syntactic features in the PoS tags assigned to words (e.g. a number agreement will require to use PoS tags such as NOUNS (noun singular), NOUND (noun plural), VERBS (verb singular), and VERBP (verb plural).

Syntax

Syntactic level and Parsing

Syntactic

Formalisms

CYK Algorithm

What formalism?

- symbolic grammars / statistical grammars
- symbolic grammars:
- constituent = requence of "non terminal"
 Syntactic (ale jois) phrase-structure grammars (a.k.a constituency grammars, syntagmatic grammars) recursively decompose sentences into constituents! the atomic parts of which are words ("terminals").

Well suited for ordered languages, not adapted to free-order languages. structural dependencies (typically positional combains)

dependency grammars focus on words and their relations Describe functional dependencies between wholes (e.g. mis ject-verts relation)

More lexically oriented.

Dependency grammars provide simpler structures (with less nodes, 1 for each word, less rich than phrase-structure grammars and less deep),

Better expens relations (typically selectional combaints)

Modern approach: combine both

Syntax

Syntactic level and Parsing

Syntactic acceptability

Formalisms

Context-Free Grammars

CYK Algorithm

Formal phrase-structure grammars

A formal phrase-structure grammar 9 is defined by:

- A finite set C of "non-terminal" symbols
- ightharpoonup A finite set \mathcal{L} of "terminal" symbols
- ▶ The upper level symbol $S \in \mathbb{C}$
- ightharpoonup A finite set \Re of rewriting rules

syntactic categories

words

the "sentence"

syntactic rules

$$\mathcal{R} \subset \mathcal{C}^+ \times (\mathcal{C} \cup \mathcal{L})^*$$

Example of rewriting rule:

if $X_1X_2Y_1Y_2Z \in C$ and $W \in L$ then $(X_1ZX_2, W X_1 X_2)$ in R means that the sequence X_1ZX_2 can be rewritten into WY_1Y_2 and the rule is woughly written as: $X_1ZX_1 \longrightarrow WY_1Y_2$

Syntax

Syntactic level and Parsing Syntactic

Formalisms

Context-Free Grammars

CYK Algorithm

What kind of grammar for NLP?

Reminder: Chomsky's Hierarchy: complexity is related to the shape of the rules

	Gammar type	Pull shape	recognizer	complexity
	regular	$X \rightarrow W$ or	FSA	O(n)
		$X \rightarrow WY$		
		(type 3)		
embeddings	context-free	$X \rightarrow Y_1 \dots Y_n$	PDA	$O(n^3)$
		(type 2)		
crossings	context-	$lpha ightarrow eta \ lpha \leq eta $	Turing ma-	exp.
	dependent	(type 1)	chine	
	recursively enumerable	$\alpha \rightarrow \beta$ (type 0)	undecidable	

embedding:

"The bear the dog belonging to the hunter my wife was a friend of bites howls"

crossing:

"Diamonds, emeralds, amethysts are respectively white, green and purple"

expressive power

Syntax

Syntactic level and Parsing
Syntactic

= "

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CYK Algorithm

What kind of grammar for NLP? (2)

real-life NLP constraints ⇒ important limitations on <u>complexity</u> algorithms at most polynomial time complex

Worst-case complexity of parsing grammar types:

regular and LR(k) : O(n) 22 ms context-free : $O(n^3)$ 11 s tree-adjoining grammars : $O(n^6)$ 32 h more complex models : exp. 42 days

⇒ models actually used: context-free grammars (or mildly context-sensitive grammars)

Notice that in practice, higher level description formalisms might be used for developing the grammars, which are afterwards translated into CFG for practical use ("CF backbone").

Context-Free

Grammars

Context Free Grammars

A Context Free Grammar (CFG) 9 is (in the NLP framework) defined by:

- a set c of syntactic categories ("non-terminals")
 - \triangleright a set \mathcal{L} of words ("terminals")
 - \triangleright an element S of C, called the top level category, corresponding to the category identifying complete sentences
- ho a proper subset $\mathfrak T$ of $\mathfrak C$, which defines the morpho-syntactic categories or "Part-of-Speech tags" (called "pre-terminals")
- \nearrow a set \Re of rewriting rules, called the syntactic rules, of the form:

$$X \rightarrow X_1 X_2 ... X_n$$

where $X \in \mathcal{C} \setminus \mathcal{T}$ and $X_1...X_n \in \mathcal{C}$

 \triangleright a set \mathcal{L} of rewriting rules, called the lexical rules, of the form:

$$X \rightarrow W$$

where $X \in \mathcal{T}$ and w is a word of the language described by \mathcal{G} . L is indeed the lexicon

A simplified example of a Context Free Grammar

Context-Free Grammars

CYK Algorithm

```
terminals: a, cat, ate, mouse, the
```

PoS tags: N, V, Det

non-terminals: S, NP, VP, N, V, Det

rules:

Syntatic rules
$$R_1$$
: $S \rightarrow NP VP$
 R_2 : $VP \rightarrow V$

$$R_2$$
: $VP \rightarrow V$

$$R_3$$
: VP \rightarrow V NP

$$R_4$$
: NP \rightarrow Det N

(lexicon)
$$N \rightarrow cat (L_1)$$
lexical rules $N \rightarrow mount(L_4)$

Det
$$\rightarrow$$
 the (L_2)
Det \rightarrow \Rightarrow (L_3)

Syntactically Correct

Context-Free Grammars

CYK Algorithm



A word sequence is syntactically correct (according to 9) \iff it can be derived from the upper symbol S of g in a finite number of rewriting steps corresponding to the application of rules in 9.

Notation: $S \Rightarrow^* w_1 ... w_n$



Any sequence of rules corresponding to a possible way of deriving a given sentence $W = w_1 ... w_n$ is called a **derivation** of W.



The set (not necessarily finite) of syntactically correct sequences (according to 9) is by definition the *language* recognized by 9



An elementary rewriting step is noted: $\alpha \Rightarrow \beta$; several consecutive rewriting steps: $lpha \Rightarrow^* eta$ with lpha and $eta \in (\mathcal{C} \cup \mathcal{L})^*$



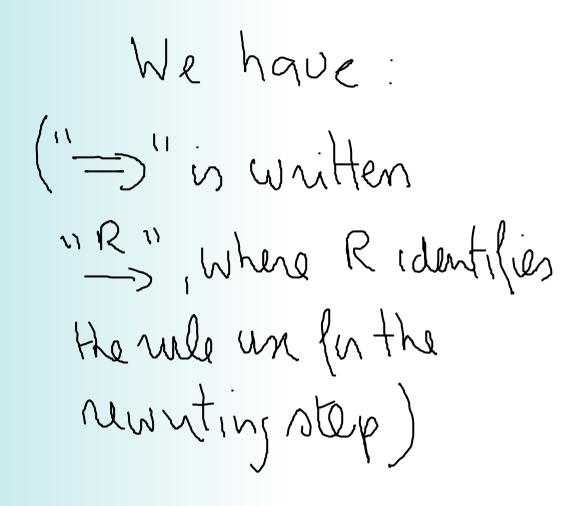
Example: if as rules we have $X \to a$, $Y \to b$ and $Z \to c$, then for instance: $X Y Z \Rightarrow aYZ$ and $X Y Z \Rightarrow^* abc$

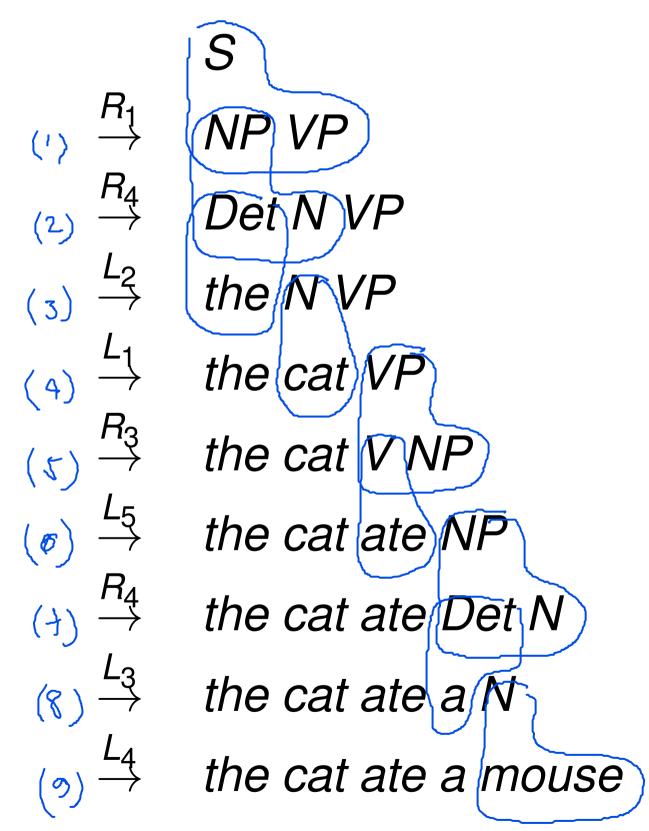
Example: Let us show that...

Context-Free Grammars

CYK Algorithm

The sequence "the cat ate a mouse" is syntactically correct (according to the former example grammar)





Example (2)

Context-Free Grammars

CYK Algorithm

The sequence "ate a mouse the cat" is syntactically wrong (according to the former example grammar)

 $\begin{array}{ccc}
S \\
R1 \\
\rightarrow & NP & VP \\
R4 \\
\rightarrow & Det & N & VP \\
X \\
\rightarrow & ate/Det & N & VP
\end{array}$



Syntactic tree(s) associated with a sentence

Context-Free Grammars

CYK Algorithm

Each derivation of a sentence *W* can be represented graphically in the form of a tree in which each rewriting rule is represented as a sub-tree of depth 1: the root (resp. the leaves) corresponds (resp. correspond) to the left-hand side (resp. the right-hand side) of the rule.

$$(...,R_i,...)$$
 with $R_i:X\to Y_1$... $Y_k\to Y_1$... $Y_k\to Y_1$...

Such a tree will be called a syntactic tree (or parse tree, or syntactic structure) associated to W by g.

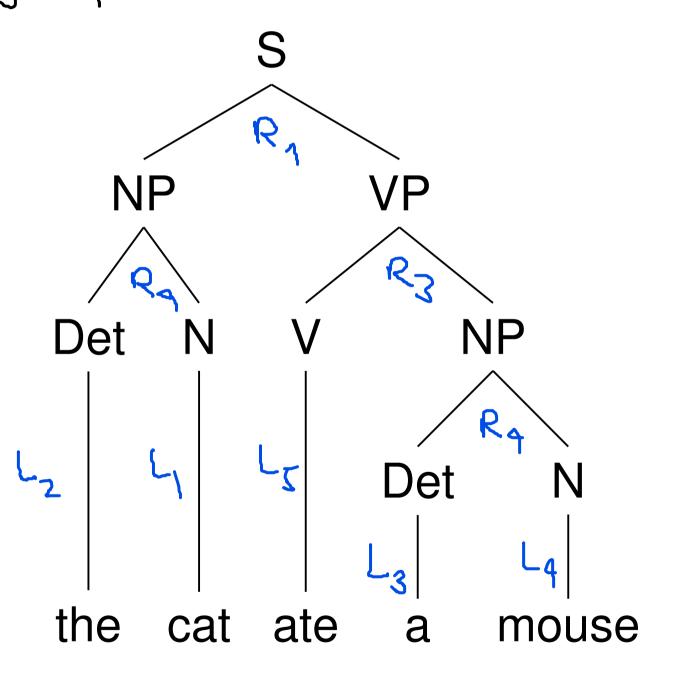


Syntactic tree(s) associated with a sentence

Context-Free Grammars

CYK Algorithm

Example: the derivation (R, R4, L2, L, R3, L5, R9, L3, L4) can be represented by the Collowing syntatic tree:



Introduction
Syntax

Mapping between trees and derivations

Context-Free Grammars

CYK Algorithm

A priori, several derivations can correspond to the same tree

Example ("the cat ate a mouse"): R_1 , R_4 , L_2 , L_1 , R_3 , L_5 , R_4 , L_3 , L_4 (where the NP is derived before the NP) and R_1 , R_3 , L_5 , R_4 , L_3 , L_4 , R_4 , L_2 , L_1 (where the NP) correspond to the same tree

However, if, by convention, derivations are restricted to left-most derivations (i.e. derivations where rewriting rules are exclusively applied to the left-most non-terminal), there is a **one-to-one mapping** between derivations and parse trees.

Warning! This is not true in general for grammars more complex than context-free grammars.

This property is one of the important properties of the CF grammars and will be used for their probabilization.



Syntactic ambiguity

Context-Free Grammars

CYK Algorithm

One of the major characteristics of natural languages (in opposition to formal languages) is that they are inherently ambiguous at every level of analysis.

For example, at the syntactic level:

- words are often associated with several parts-of-speech (for example "time" can be a verb or a noun).
 - This can lead to multiple syntactic interpretations corresponding to global structural ambiguities
 - Example: time flies like an arrow
- word attachments are often not completely constrained at syntactic level. This can lead to multiple syntactic interpretations corresponding to local structural ambiguities
 - Example: She ate a fish with a fork

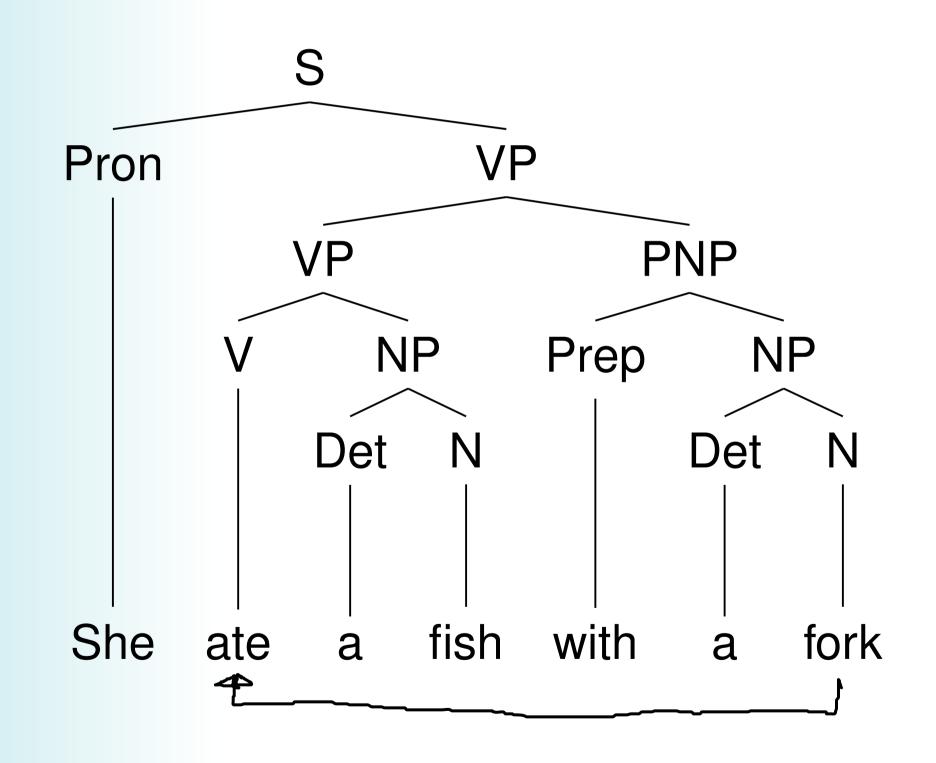


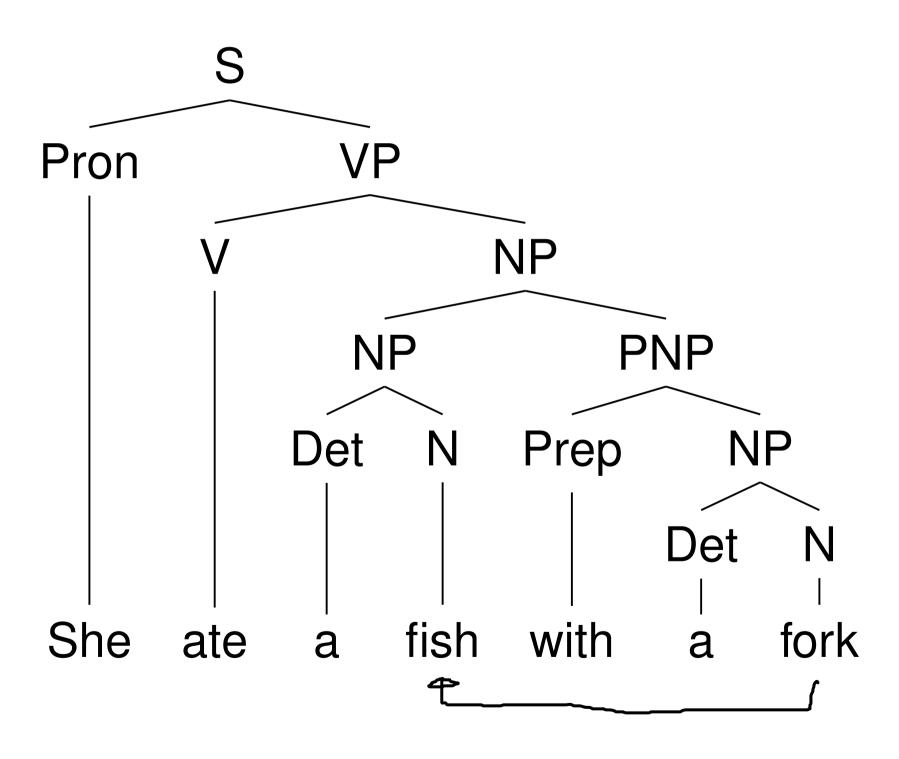
Examples of syntactic ambiguities

Context-Free Grammars

CYK Algorithm

She ate a fish with a fork/bone





Syntactic ambiguity (2)

Context-Free Grammars

CYK Algorithm

As the syntactic ambiguity of a given sentence W will be expressed through the association to W of several syntactic structures,

grammars used to describe natural languages need to be ambiguous.

This corresponds to a major difference with the grammars that are usually used for formal languages (e.g. programming languages) and have fundamental consequences on the **algorithmic complexity** of the parsers (i.e. syntactic analyzers) that are designed for Natural Language Processing.



Syntactic parsing

Context-Free Grammars

CYK Algorithm

One of the main advantages of the CFG formalism is that there exist several **generic parsing algorithms** that can recognize/analyze sentences in a **computationally very efficient** way (low polynomial worst case complexity).

efficient == $O(n^3)$ worst case complexity

The two most famous of such algorithms are:

- the CYK (Cocke-Younger-Kasami) algorithm (first proposed in the early 60's)
- and the Earley parser (late 60's)

Input Output Resource sentence { trees (analyser) yes/no (recognizer) CFG

Introduction Syntax

The CYK algorithm

Context-Free Grammars

CYK Algorithm

CYK is a bottom-up chart parsing algorithm characterized by 3 interesting features:

- its worst case parsing complexity is $O(n^3)$ (where n is the number of words of the sentence to be analyzed);
- a very simple algorithm that is easy to implement;
- it can provide partial analysis of syntactically correct subsequences of syntactically incorrect sequences.

However, its standard implementation suffers from two important drawbacks:

- the CF grammar used by the parser has to be in a predefined format (the Chomsky normal form) and therefore the grammar usually needs to be first converted into this predefined format;
- the complexity is always $O(n^3)$ even when the grammer is in fact regular.



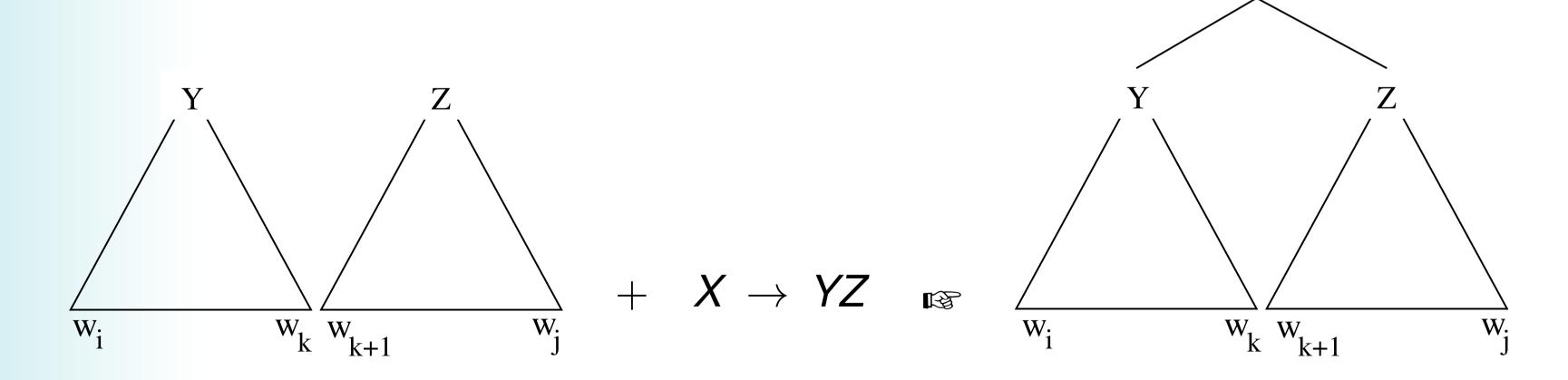
Grammars

CYK algorithm: basic principles

As it is usual for chart parsing algorithms, the CYK algorithm will compute in an efficient way all the possible syntactic interpretations of all the sub-sequences of the sequence to be analyzed.

Subsequence internetations one built present in the grammar.

in a bottom-up fashion, using the rules



How to prevent the space of possible combinations of subsequences from exploding?

Restrict the types of CFG's allowed. - Bingination

Chomsky Normal Form

Context-Free Grammars

CYK Algorithm

Any context-free grammar can be converted into an equivalent Chomsky Normal Form (CNF) grammar

A CFG is in CNF if all its syntactic rules are of the form:

$$X \rightarrow X_1 X_2$$

where $X \in \mathcal{C} \setminus \mathcal{T}$ and $X_1, X_2 \in \mathcal{C}$

A context free grammar is in **extended Chomsky Normal Form** (eCNF) if all its syntactic rules are of the form:

$$X \rightarrow X_1$$
 or $X \rightarrow X_1 X_2$

where $X \in \mathcal{C} \setminus \mathcal{T}$ and $X_1, X_2 \in \mathcal{C}$

Chomsky normal form: example

Context-Free Grammars

CYK Algorithm

```
NP VP
                               R1:
                                                   NP VP
R1:
                                       NP
R2:
      NP
                               R2:
                  Det N
                                                   Det N
                  Det N PNP
R3:
      NP
                                       NP
                                                   X_1 PNP
                              R3.1:
                              ♣R3.2:
                                       X_1
                                                   Det N
                                                   Prep NP
                                       PNP
R4:
      PNP
                               R4:
                  Prep NP
                                              \rightarrow
      VP
R5:
      VP
                                       VP
R6:
                  V NP
                               R6:
                                                   V NP
      VP
                  V NP PNP
                                       VP
R7:
                               R7.1:
                                                   X_2 PNP
                                       X_2
                                                   V NP
                               R7.2:
L5:
                               L5.1:
                  ate
                                                   ate
                              ♦L5.2:
                                       VP
                                                   ate
                                              \rightarrow
```

increases the number of non-terminals and the number of rules

Introduction Syntax

CYK algorithm: basic principles (2)

Context-Free Grammars

CYK Algorithm

The algorithmically efficient organization of the computation is based on the following property:

if the grammar is in CNF (or in eCNF) the computation of the syntactic interpretations of a sequence W of length n only requires the exploration of all the decompositions of W into exactly two sub-subsequences, each of them corresponding to a cell in a chart. The number of pairs of sub-sequences to explore to compute the interpretations of W is therefore n-1.

Idea: put all the analyses of sub-sequences in a chart



CYK algorithm: basic principles (3)

Context-Free Grammars

CYK Algorithm

The syntactic analysis of an *n*-word sequence $W = w_1 ... w_n$ is organized into a half-pyramidal table (or chart) of cells $C_{i,j}$ ($1 \le i \le n$, $1 \le j \le n$), where the cell $C_{i,j}$ contains all the possible syntactic interpretations of the sub-sequence $w_i...w_{i+i-1}$ of i words starting with the *j*-th word in *W*.

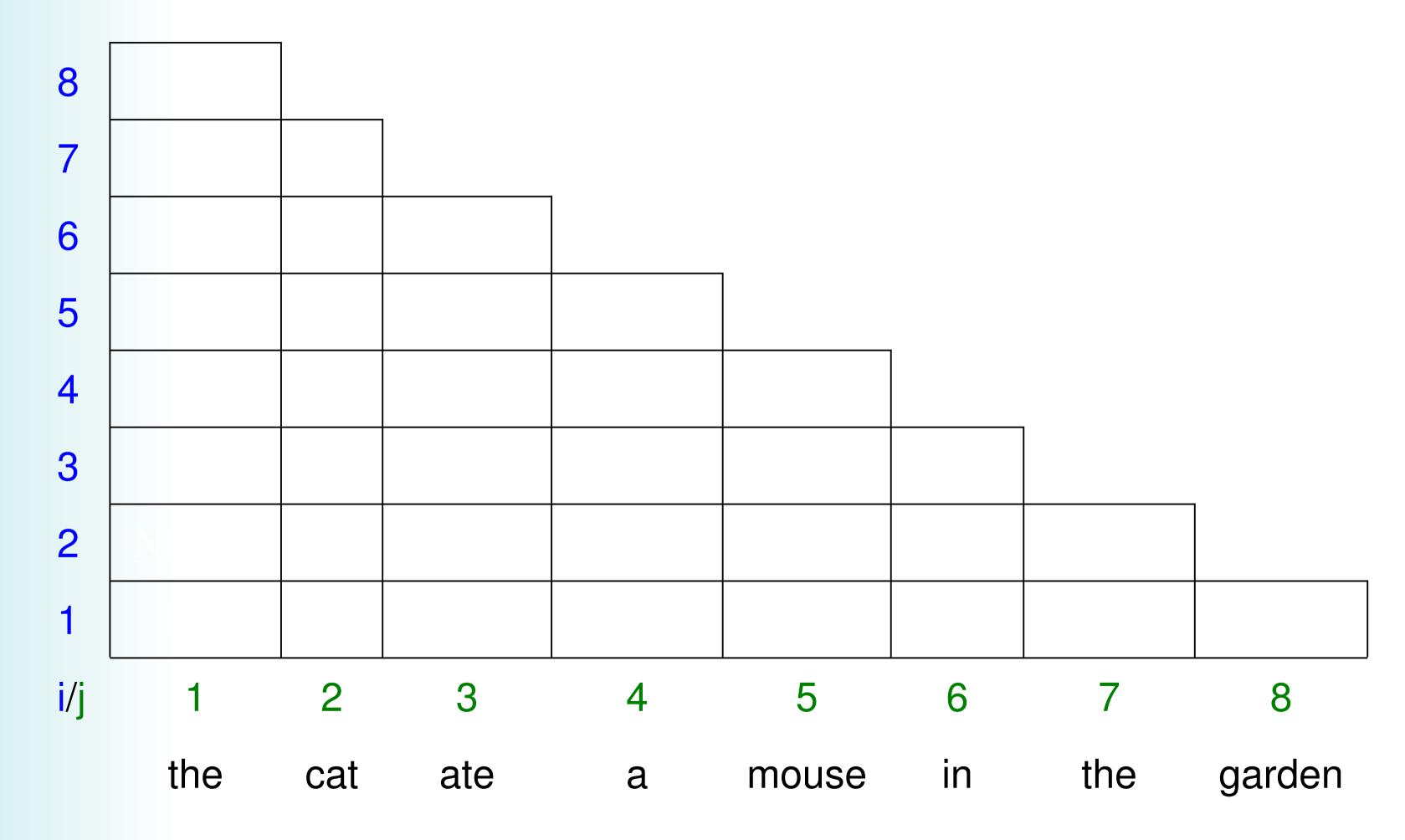
$$X \in C_{ij}$$
 : $W_j \cdots W_{j+i-1}$

The computation of the syntactic interpretations proceeds row-wise upwards DEPFL
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CYK Algorithm: principle

Context-Free Grammars

CYK Algorithm



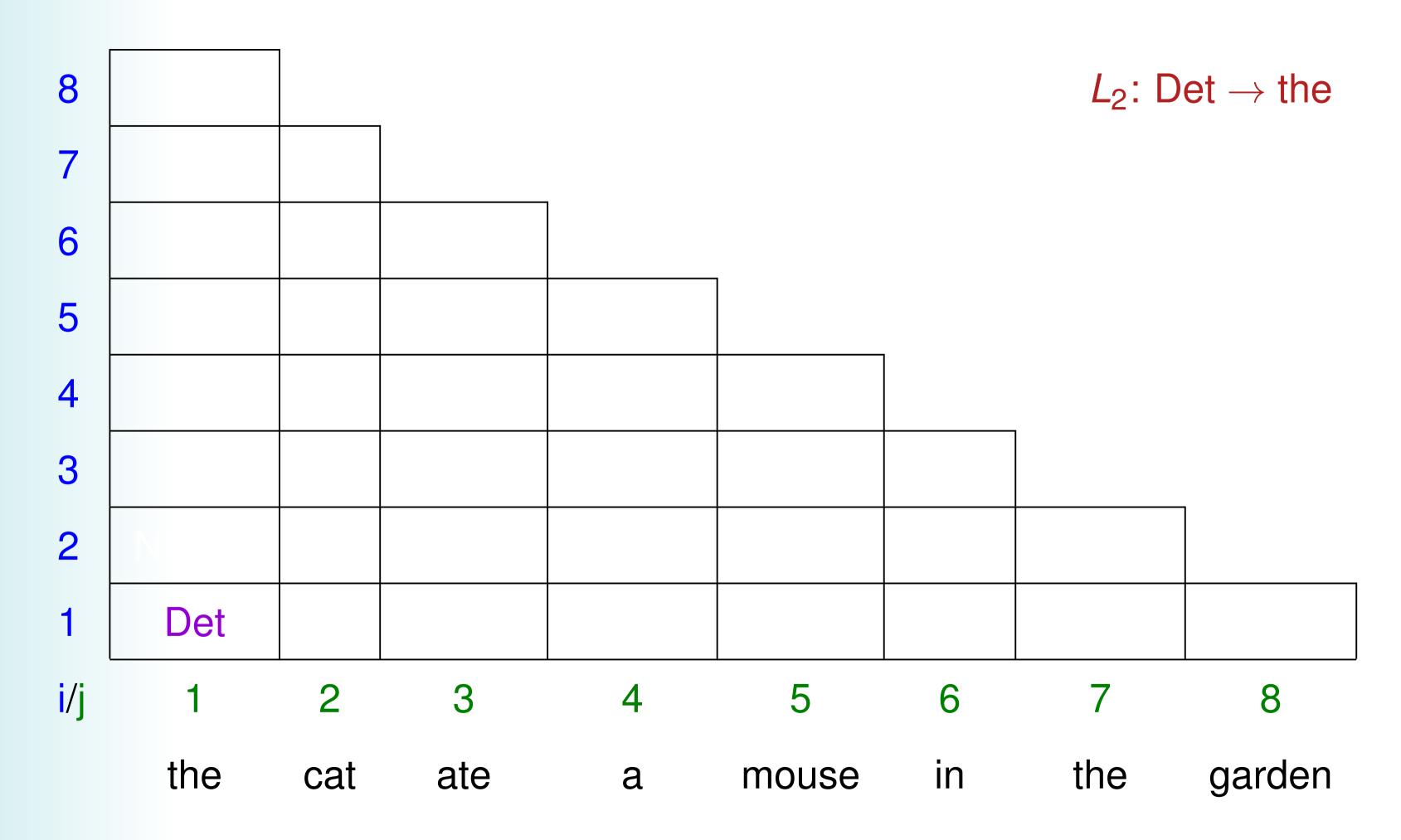


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CYK Algorithm: principle

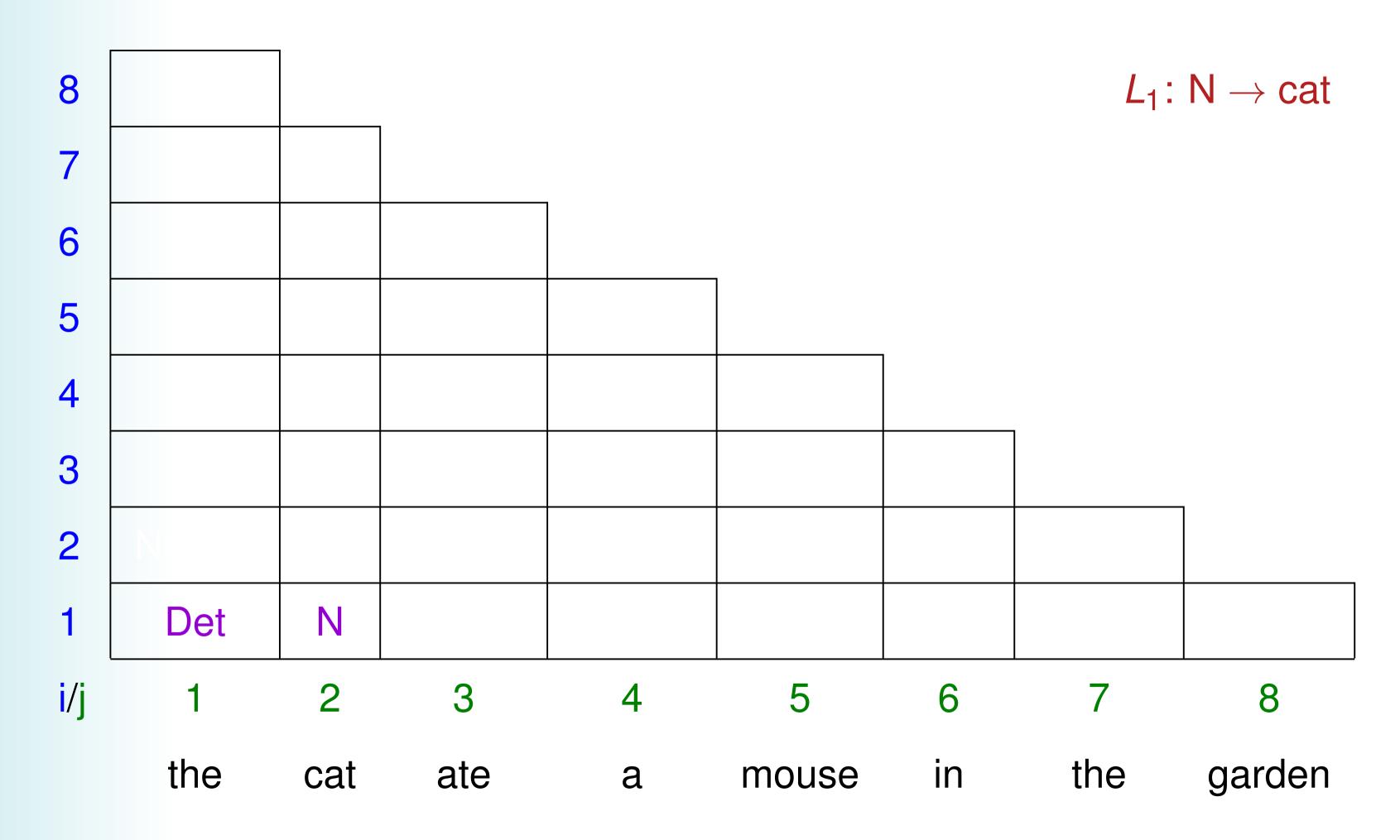
Context-Free Grammars

CYK Algorithm



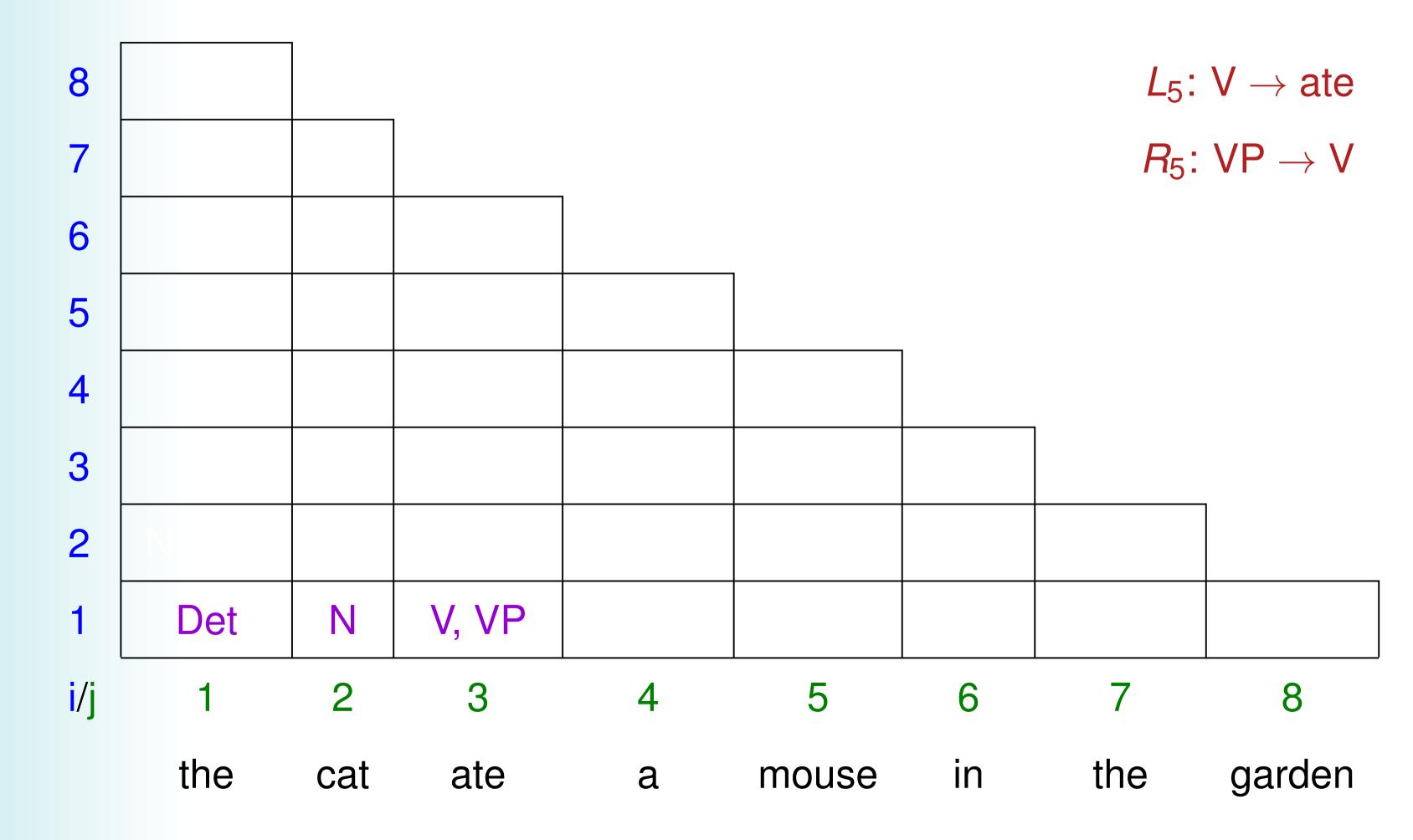


Context-Free Grammars





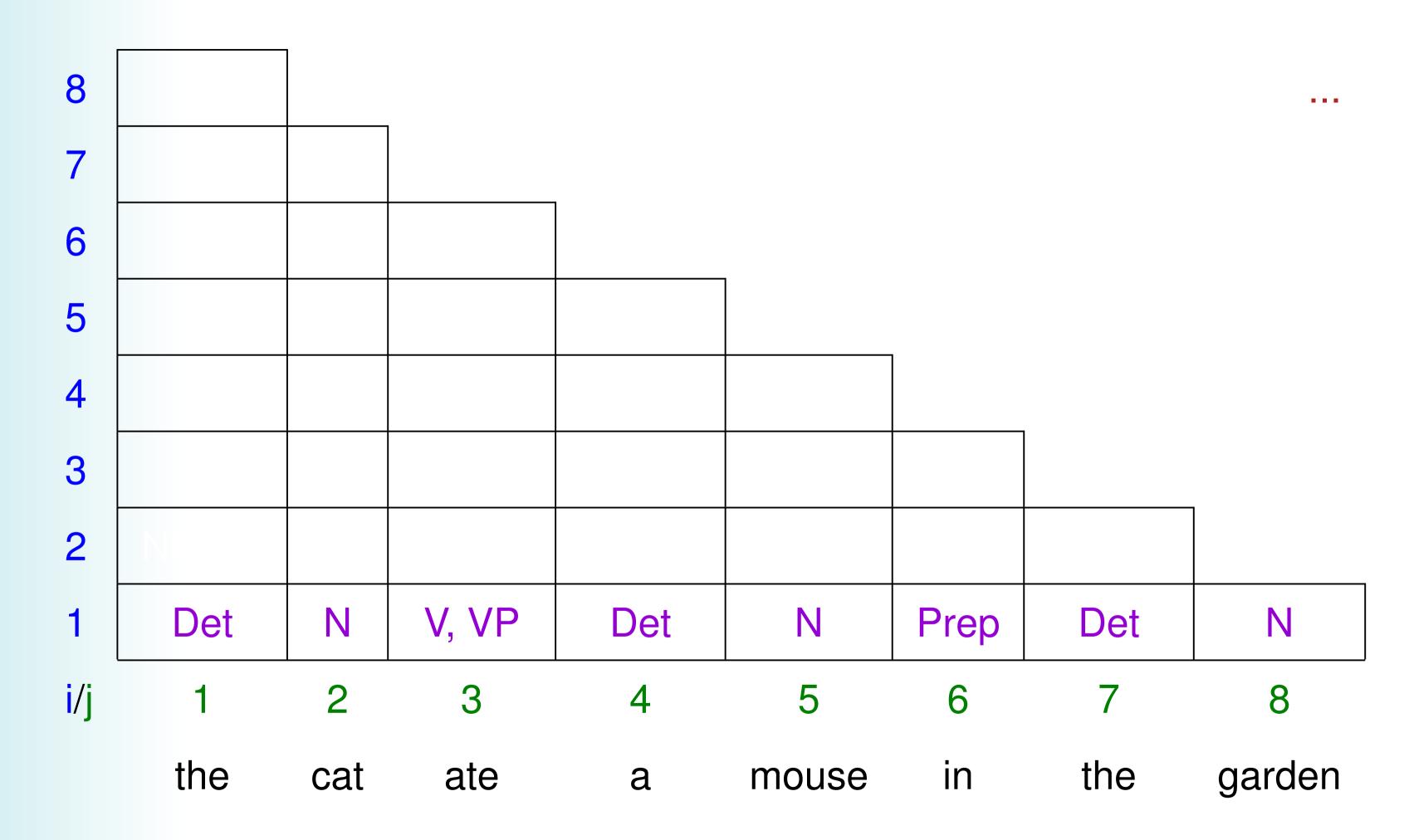
Context-Free Grammars





Context-Free Grammars

CYK Algorithm



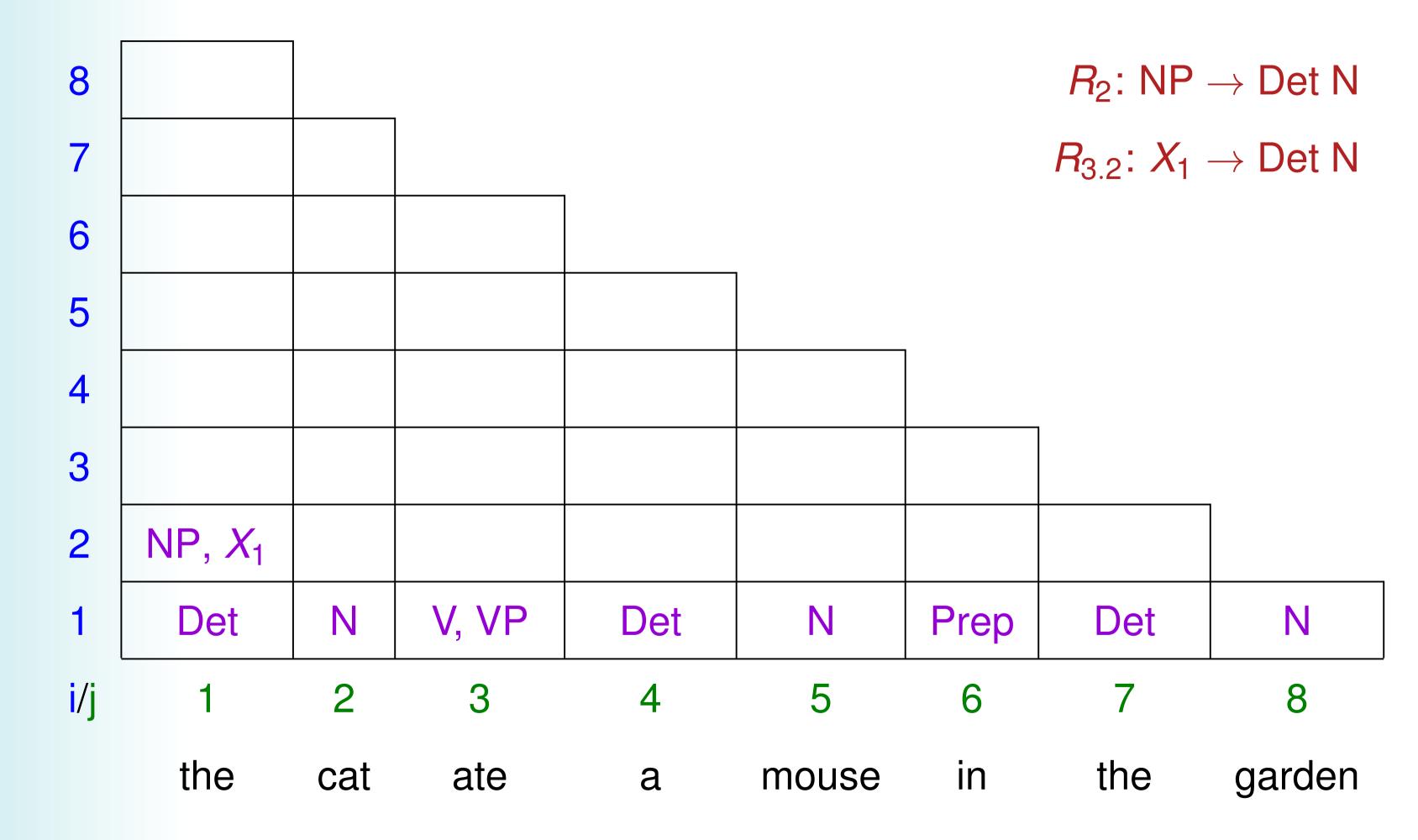


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Context-Free Grammars

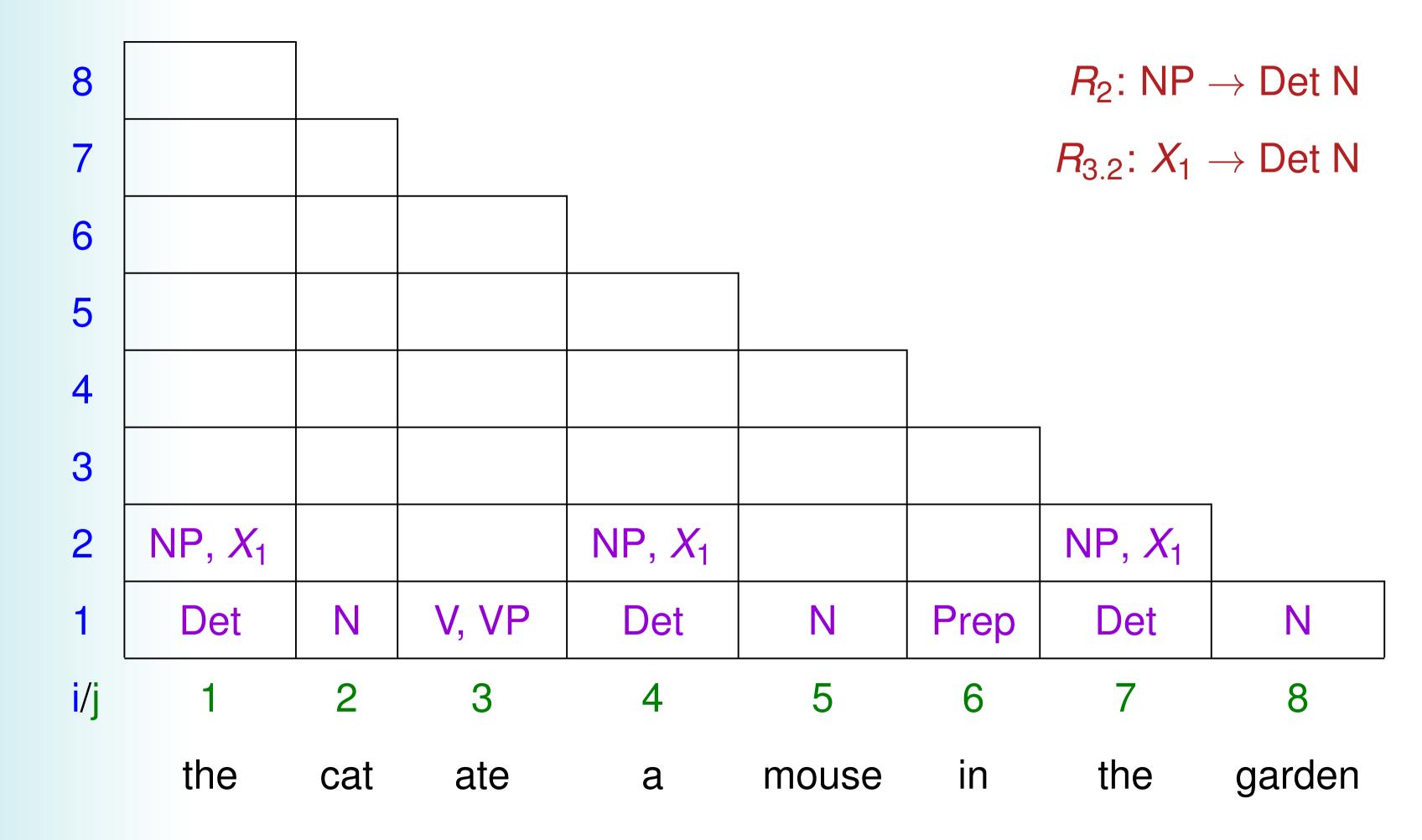
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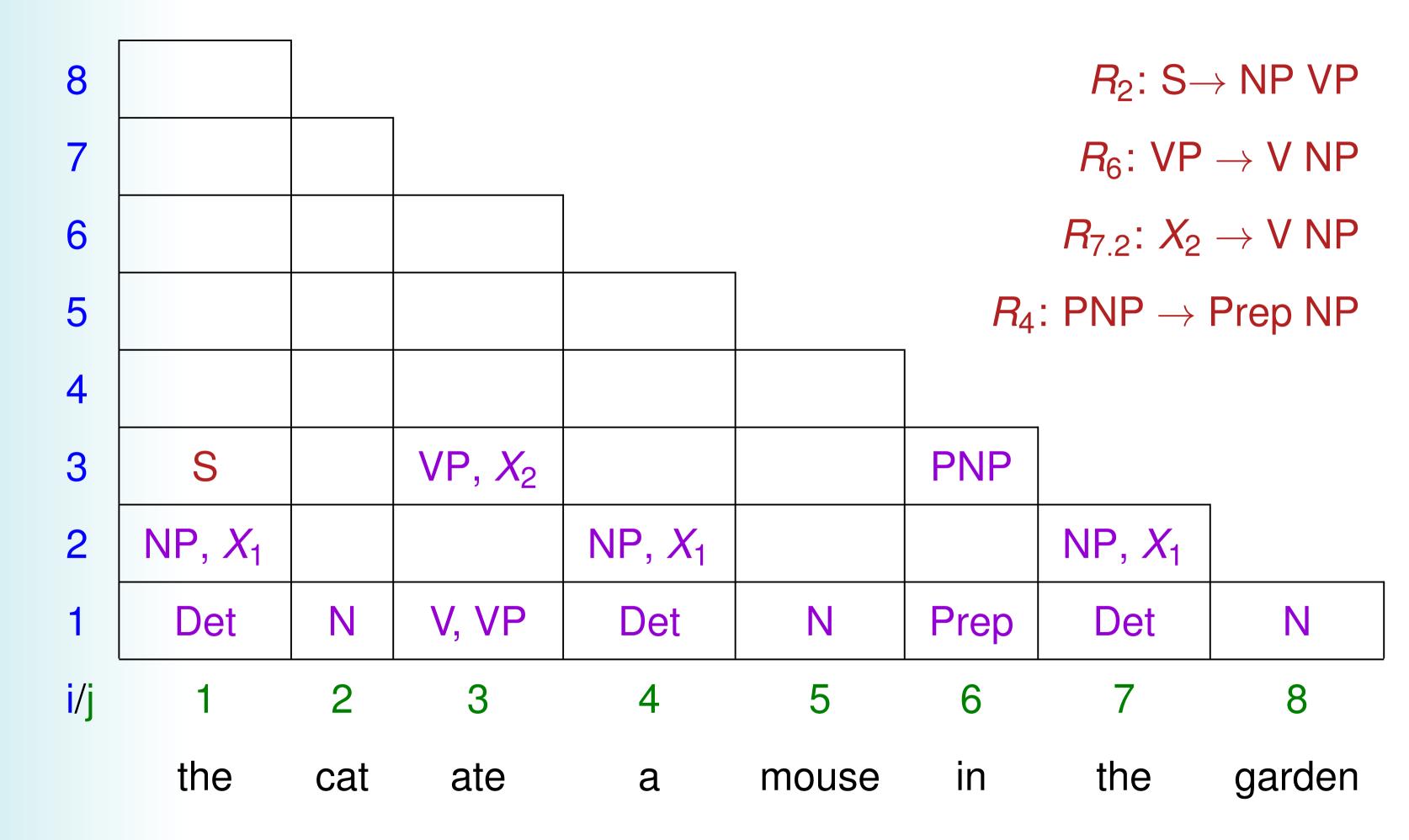
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Context-Free Grammars



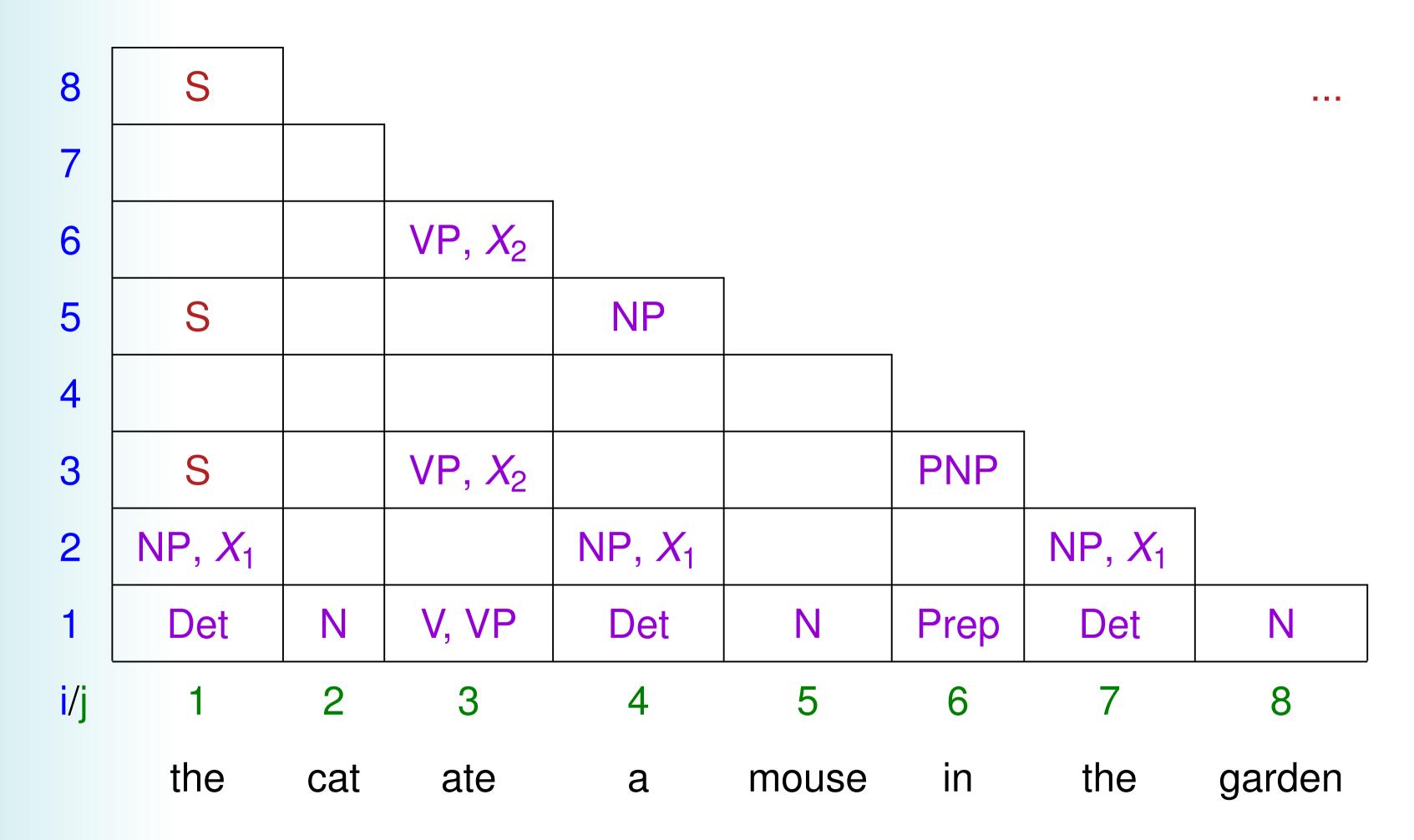


Context-Free Grammars





Context-Free Grammars

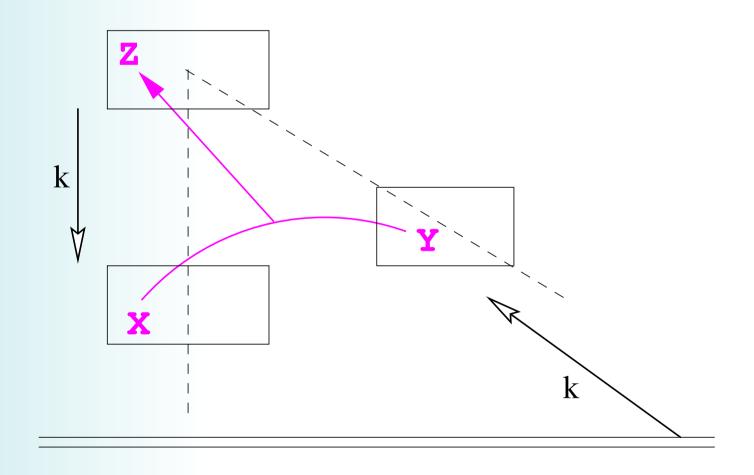




Formal algorithm

Context-Free Grammars

- 1) Initialisation: fill first row with corresponding Part-of-Speech
- 2) Fill chart:



```
for all 2 \le i \le n (row) do
for all 1 \le j \le n-i+1 (column) do
for all 1 \le k \le i-1 (decomposition) do
for all X \in \text{chart}[i-k][j] do
for all Y \in \text{chart}[k][i+j-k] do
for all Z \to X Y \in \mathcal{R} do
Add Z to chart[i][j]
```

Analyzer or recognizer?

Context-Free Grammars

CYK Algorithm

- The preceding algorithm does not store the parse trees.
 - Recognizer (check whether S is in top cell or not) or, for an analyser, need to reconstruct the parse trees.
- For an analyzer, it's definitely better to store the parse trees in the chart while parsing:

Extend

Add Z to chart[i][j]

with

Add pointers to X and Y to the interpretations of Z in chart[i][j]

CYK algorithm: worst case complexity

Context-Free Grammars

CYK Algorithm

As the computation of the syntactic interpretations of a cell $C_{i,j}$ requires (i-1) explorations of pairs of cells $(1 \le k \le i-1)$, the total number of explorations is therefore

$$\sum_{i=2}^{n} \sum_{j=1}^{n-i+1} (i-1) = \sum_{i=2}^{n} (n-i+1).(i-1) \in \mathcal{O}(n^3)$$

A cell contains at most as many interpretations as the number $|\mathcal{C}|$ of syntactic categories contained in the grammar, the worst case cost of an exploration of a pair of cells corresponds therefore to $|\mathcal{C}|^2$ accesses to the grammar.

Complexity (2)

Context-Free Grammars

CYK Algorithm

As cost of the access to the rules in the grammar can be made constant if efficient access techniques (based on hash-tables for example) are used, the worst case computational complexity of the analysis of a sequence of length *n* is:

$$\mathcal{O}(n^3)$$
 and $\mathcal{O}(|\mathcal{C}|^2)$

We can here see one drawback of the CNF: C is increased.

There are modified versions of the CYK algorithm where CNF is no longer required (constant is then smaller): bottom-up chart parsing

Notice: once the chart has been filled $(\mathfrak{O}(n^3))$ complex, one parse tree of the input sentence can be extracted in $\mathfrak{O}(n)$.



Complexity (3)

Context-Free Grammars

CYK Algorithm

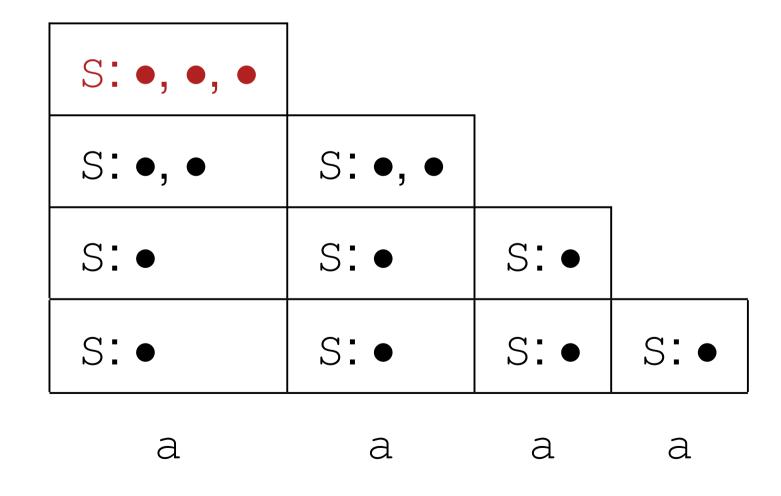
PITFALL!! It is easy to implement this algorithm in such a way that the complexity becomes $\Im(\exp n)!$

If indeed the non-terminals produced in a cell are duplicated (instead of factorizing their interpretations), their number can become exponential!

Example:

$$S \rightarrow S S$$

S	S	S	S	S					
S	S				S	S			
S					S		S		
S					S		S	S	
		a			ć	a	а	a	_



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EXPONENTIAL

CUBIC

Beyond CNF: bottom-up chart parting

Context-Free Grammars

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Idea: get rid of (e)CNF constraint

How to?

on-line binarization, when needed, during bottom-up analysis

Mainly:

• factorize (with respect to α) all the partial derivations $X \to \alpha \bullet \beta$ $\alpha \bullet \ldots$ This is possible because processing bottom-up.

[α and β are (non-empty) sequences of non-terminals.]

Bottom-up Chart Parsing

Context-Free Grammars

CYK Algorithm

More formally, a CYK algorithm in which:

- cells contain **two** kind of objects: $[\alpha \bullet ..., i, j]$ and [X, i, j] respectively
- initialization consists in adding [X, i, j] for all $X \to w_{ij} \in \mathbb{R}$ (w_{ij} is a sequence of tokens of the input sentence; see "Dealing with compounds" later slide)
- and the completion phase becomes: (association of two cells)

$$[\alpha \bullet ..., i, j] \oplus [X, k, j + i] \Rightarrow \begin{cases} [\alpha X \bullet ..., i + k, j] & \text{if } Y \to \alpha X \beta \in \mathbb{R} \\ [Y, i + k, j] & \text{if } Y \to \alpha X \in \mathbb{R} \end{cases}$$

("self-filling")

$$[X,i,j] \Rightarrow \begin{cases} [X \bullet ...,i,j] & \text{if } Y \to X\beta \in \mathbb{R} \\ [Y,i,j] & \text{if } Y \to X \in \mathbb{R} \end{cases}$$

Bottom-up Chart Parsing: illustration

Det

N

Context-Free Grammars

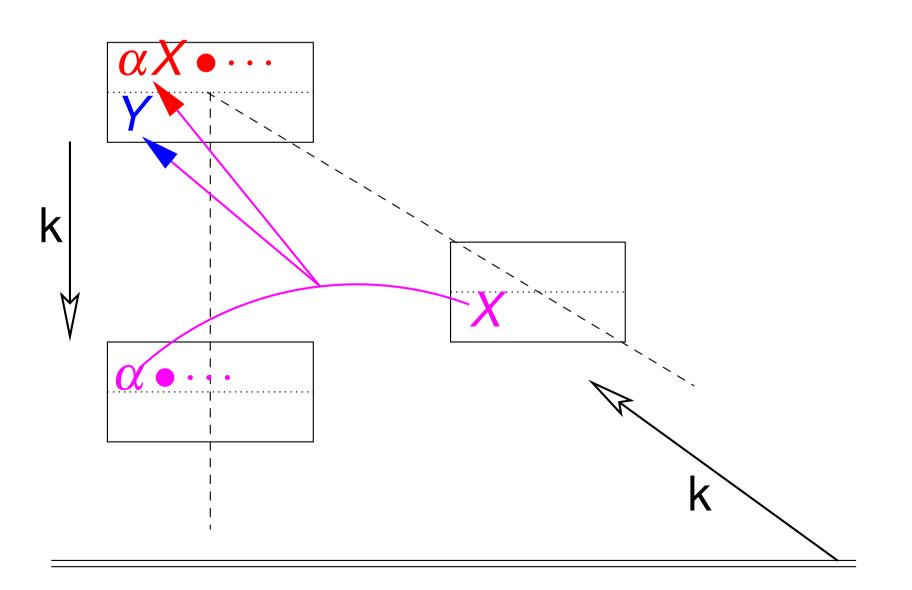
CYK Algorithm

Initialization:

Completion:

dog The the hate cat Det • ··· V • ... Det • ... Det N VP Det N dog The hate the cat

Det

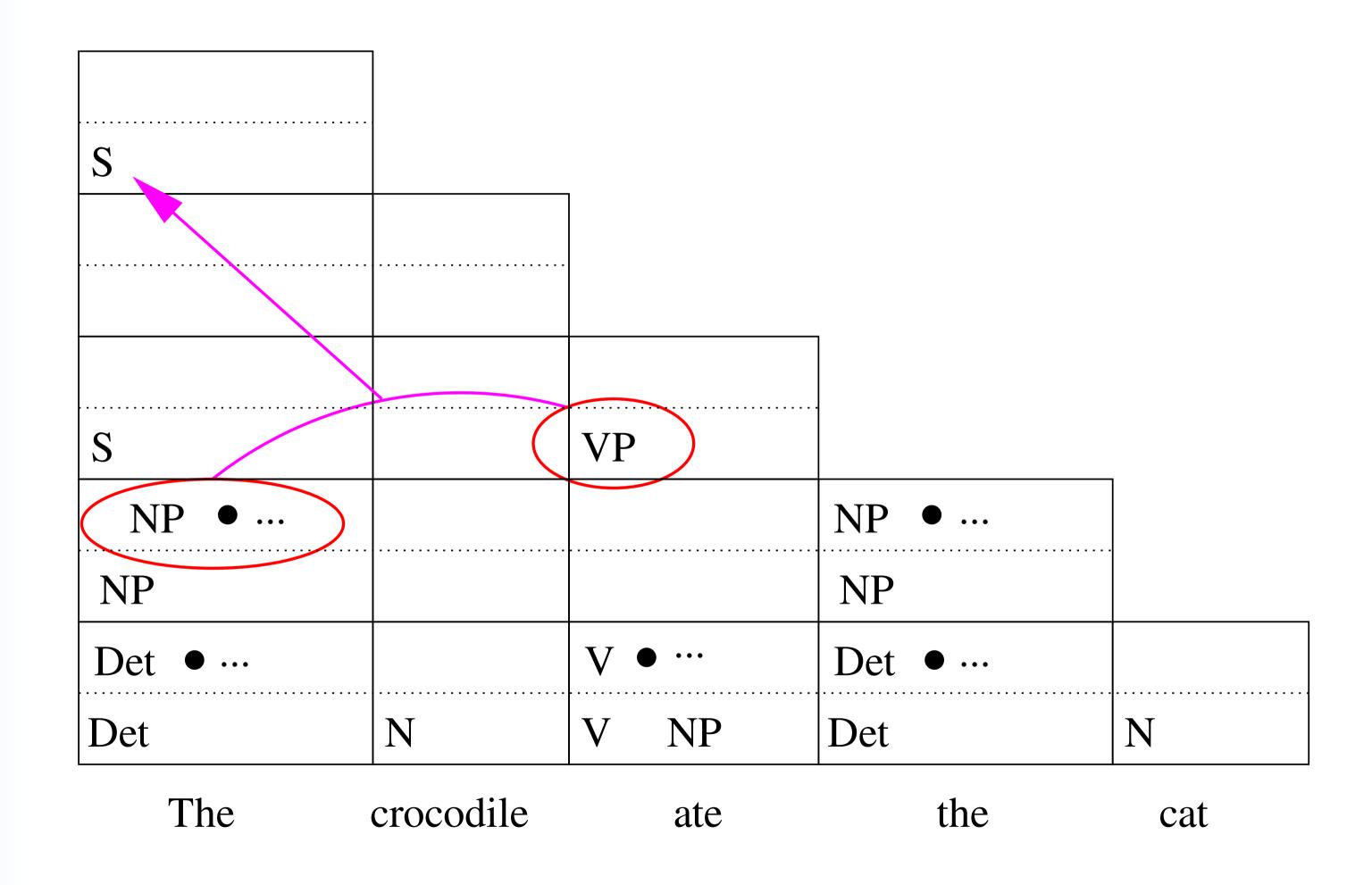


N

Bottom-up Chart Parsing: Example

Context-Free Grammars

CYK Algorithm





M. Rajman & J.-C. Chappelier

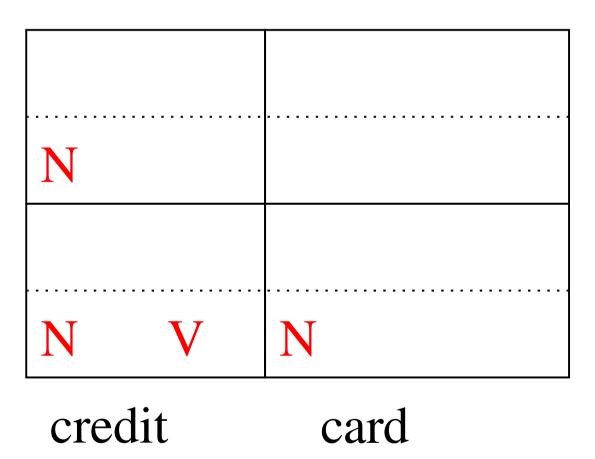
Introduction
Syntax

Dealing with compounds

Context-Free Grammars

CYK Algorithm

Example on how to deal with compouds during initialization phase:



Keypoints

Context-Free Grammars

- Coved

 Role of syntactic analysis is to recognize a sentence and to produce its structure (∠)
- Different types of formal grammars, relation between description power and time constraints
- CYK algorithm, its principles and complexity



References

Context-Free Grammars

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